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MAR 12 2010

United States District Court
STATE AND DISTRICT OF MINNESOTA

CLERK, U.S. DISTRICT COURT
MINNEAPOLIS, MINNESOTA

UNITED STATES OF AMERICA

v.

ROBERT DALE GORIS

Government's Exhibit List

Criminal No. 09-100(ADM/JJG)

PRESIDING JUDGE					PLAINTIFF'S ATTORNEY	DEFENDANT'S ATTORNEY		
The Honorable Ann D. Montgomery					Michael L. Cheever, AUSA Kimberly A. Svendsen, AUSA	Michael Minns, Esq. Joseph S. Friedberg, Esq.		
TRIAL DATE(S)					COURT REPORTER	COURTROOM DEPUTY		
March 1, 2010					Tim Willette	Gertie Simon		
PLF NO.	DEF NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS			
IRS RECORDS								
A. Robert & Janice Goris								
1		3/2	✓	✓	Transcripts of Account for RG & JG, 1995-2008			
2		3/4	✓	✓	Information Returns for RG & JG, 1999-2008			
3		3/1	✓	✓	1998 Form 1040, RG & JG			
4		3/2	✓	✓	1998 Form 1040X, RG & JG (6/3/00)			
5		3/1	✓	✓	5/11/01 Letter from GK to RG & JG (GK)			
6		3/1	✓	✓	5/20/01 Letters from LSR to GK (GK)			
7		3/1	✓	✓	6/4/01 Letter from GK to RG & JG (GK)			
8					7/20/01 Form 2848 for RG & JG Appointing SW as POA (GK)			
9		3/1	✓	✓	1999 Form 1040, RG & JG (9/14/01)			
10		3/1	✓	✓	2000 Form 1040, RG & JG			
11		3/1	✓	✓	2001 Form 1040, RG & JG			
12		3/1	✓	3/1	2002 Form 1040, RG & JG			
13		3/1	✓	✓	1/04 Letter from IRS to RG (L- 3827E) w/ Enclosed Press Release			
14		3/1	✓	✓	2003 Form 1040, RG & JG			
15		3/1	✓	✓	8/1/04 Form 2848 for RG & JG Appointing JS as POA (AJ)			
16		3/2	✓	✓	8/24/04 Letter from AJ to RG & JG (AJ)			
17		3/2	✓	✓	9/29/04 Letter from AJ to RG & JG w/ Form 4564 (Request No. 1) from AJ to RG & JG (AJ)	SCANNED MAR 12 2010		
18		3/2	✓	✓	10/04 Form 2848 for RG & JG Appointing SW as POA (AJ)	DISTRICT COURT MPLS		
19		3/2	✓	✓	11/6/04 Form 2848 for RG & JG Appointing WP as POA (AJ)			

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
20		3/2	✓	✓	11/30/04 Letter from WP to AJ (AJ)
21		3/2	✓	✓	12/21/04 Cover Letter from AJ to WP Re IFC (AJ)
22		3/1	✓	✓	4/1/05 Form 2848 for RG & JF Appointing LM as POA w/Cover Letter (BU)
23		3/2	✓	✓	5/10/05 Letter from RG to IRS w/ \$3,556,411 Check for 2002
24		3/3	✓	✓	Orig. 5/9/05 Check from RG to IRS for \$3,556,411
25		3/3	✓	✓	5/10/05 Letter from RG to IRS w/ \$141,035 Check for 2003
26		3/3	✓	✓	Orig. 5/10/05 Check from RG to IRS for \$141,035
27		3/4	✓	✓	"Commercial Affidavits" Claiming "no income" for 2002, 2004, & 2005 (Postmarked 8/15/06)
28		3/4	✓	✓	"Commercial Affidavit" Claiming "no income" for 2003 (Stamped 8/18/06)
29		3/4	✓	✓	Form 4852 (Substitute W-2/1099-R 2002) (8/25/06)
30		3/4	✓	✓	Form 4852 (Substitute W-2/1099-R 2003) (8/25/06)
31		3/4	✓	✓	2006 "Filing in Lieu of 1040" (Stamped 4/29/07)
32		3/4	✓	✓	2006 "Filing in Lieu of 1040" (Postmarked 2/22/08)
33		3/5	✓	✓	2007 Form 1096 w/ Forms 1099-OID for RG (10/2/08)
34		3/5	✓	✓	2005 Form 1096 w/ Forms 1099-OID for RG (10/11/08)
35		3/5	✓	✓	2007 Form 1040, RG & JG (10/15/08)
36		3/4	✓	✓	Forms 56 for RG & JG for 2001-2004 (10/20/08)
37		3/4	✓	✓	2003 Form 1040, RG & JG (10/20/08)
38		3/4	✓	✓	2004 Form 1040, RG & JG (10/20/08)
39		3/5	✓	✓	Forms W-8BEN (Certificate of Foreign Status of Non-Resident Alien) RG & JG (postmarked 12/22/08)
40		3/5	✓	✓	2006 Form 1040, RG & JG (1/3/09)
41		3/5	✓	✓	2008 Form 1040, RG & JG
42		3/1	✓	3/1	2002 Form 1040, RG & JG (10/20/08)
43		3/4	✓	✓	Form 4852 (Substitute W-2/1099-R 2004) (8/25/06)
44		3/4	✓	✓	Form 4852 (Substitute W-2/1099-R 2005) (8/25/06)
45		3/3	✓	✓	FRP History for RG + JG
46					
					B. Greater Resource Development
60		3/4	✓	✓	Transcript of Account, Greater Resource Development, 2002-2008

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
61		3/4	✓	✓	Information Returns, Greater Resource Development, 2002-2008
62		3/2	✓	✓	2002 Form 1065, Greater Resource Development
63		3/2	✓	✓	2002 Amended Form 1065, Greater Resource Development (11/22/05)
64		3/1	✓	✓	2003 Form 1065, Greater Resource Development
65		3/2	✓	✓	2003 Amended Form 1065, Greater Resource Development (11/22/05)
66					2004 Form 1065, Greater Resource Development
67		3/4	✓	✓	9/20/00 letter from MPC re basis
68					9/15/00 MCP Stock Transaction History
					C. Oakdale Holdings & Oakdale Facilitators
69		3/4	✓	✓	Certificate of Lack of Records, Oakdale Holdings/TIN 93-1444108
70		3/4	✓	✓	Certificate of Lack of Records, Oakdale Facilitators/TIN 93-1444109
71					
72					
					D. Bought With A Price Ministries (BWAPM)
73		3/4	✓	✓	Transcript of Account, BWAPM
74					Certificates of Lack of Tax Return, 2002-2008, BWAPM
75					
76					
					E. Transport Trust and Land Trust
77		3/4	✓	✓	Certificate of Lack of Record for Transport Trust
78		3/4	✓	✓	Certificate of Lack of Record for Land Trust
79					
80					
					MINNESOTA DEPARTMENT OF REVENUE RECORDS
81					2/7/01 MDR Audit Report/Tax Order for RG, 1999
82		3/1	✓	✓	2002 form M1 for RG & JG
83		3/3	✓	✓	2002 Form M3 for GRD
84		3/3	✓	✓	2003 Form M1 for RG & JG
85		3/3	✓	✓	10/20/04 MDR Audit Report/Tax Order for RG & JG, 2002
86					
87					

PLF NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
					SEARCH WARRANT RECORDS-RG HOME
99		3/1	✓	3/1	Search Warrant Inventory, 3/14/06
100					Copies of Credit Cards, Bank Cards, Driver's License, Etc.
101					Brochure Regarding The Becomers
102					1997 Form 1040 for RG & JG Prepared by Schmiesing
103					1998 Form 1040 for RG & JG Prepared by Schmiesing
104		3/1	✓	3/1	File Labeled The Oakdale Trusts w/ 6/6/00 Trust Docs.
105					6/26/00 Statement in Support of Registration of U.S. Civil Aircraft
106					11/21/00 Invoice from Am. Tax Consultants
107					1/28/01 Letter from LSR to MDR w/ Amended State Returns
108					5/11/01 Letter from GK to RG & JG
109					5/20/01 Letters from LSR to GK
110					6/4/01 Letter from GK to RG & JG
111		3/1	✓	3/1	5/6/02 Invoice from IFC to OH/RG w/ Note Re \$1,720
112					5/6/02 Invoice from IFC to OH/RG (Stamped 7/22/02) for \$450
113					Notebook w/ GRD, Oakdale, MCP & Metro Gem Docs.
114					8/22/01 (8/22/02) Letter from AIG to Craig Howse w/ 7/25/02 Letter to RG
115					8/7/02 Letter from AIG to RG
116					8/8/02 Deposit Receipt for \$2,550,000 w/ Copy of Check
117					2002 1099-MISC from Franklin Life to RG for \$2,550,000 & \$77,547.20
118					2002 Form 1099-MISC from Franklin Financial to RG for \$69,185.69
119					2002 Form 1099-MISC from American Franklin Life to RG for \$6,355.05
120					2002 Form 1099-R from Franklin Life to RG for \$738,178.31
121					7/26/02 Faxes (4:37 p.m. & 4:58 p.m.) w/ OCC Budget & Goris ORC/Center Gift & RG Response of Intent
122					ORC Official Receipt for IRS Purposes (\$1,150,000)
123					2002 Form 1099-B from MCP to GRD for \$145,000
124					12/17/02 Sales Receipt from IFC to OH/RG for \$1,350 & Copy of 12/02/02 Check
125					11/23-12/27/02 Home State Bank Statement & 1/7/03 Reconciliation Reports for OH

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
126		3/1	✓	3/1	2002 Form 1040 for RG & JG Prepared by SW (Not Filed)
127					2002 Form 1040 for RG & JG ("Self-Prepared"/"Sent In")
128					2002 Form M1 for RG & JG Prepared by SW (Not Filed)
129					5/18/03 Notes for TG & JG for the Estate of RG
130					1/20/04 Letter from IRS to RG Re IFC (L-3827E)
131					2/6/04 Letter from RG to RB w/ GRD Income/Expense Detail
132					2003 Form 1040 for RG & JG Prepared by SW & 2003 Form 1065 for GRD Prepared by RB/GC
133					5/6/04 "Notes on the Transactions" Re Metro Gem
134					Bought w/ A Price Ministries Organizational Documents & Check Ledgers
135					8/24/04 Letter from AJ to RG & JG; Letter from RG to FPC; 8/20/04 Letter from MDR to RG & JG Re 2002
136					Oakdale/ GRD Compilation Project & Notes
137					9/29/04 Letter & Form 4564 from AJ to RG & JG
138					10/20/04 MDR Audit Report/Tax Order for RG & JG, 2002
139					11/29/04 Summons from IRS/AJ to KDV/SW
140					2002 Form 1040 for RG & JG Prepared by WP (\$682,104 Tax) (Not Filed)
141					2002 Form 1040 for RG & JG Prepared by WP (\$337,203 Tax) (Not Filed)
142					12/21/04 Letter from AJ to WP Re IFC w/ Attachments
143					2/1/05 MDR Tax Bill to JG
144					2/25/05 Letter from JS to RG w/ 1/20/05 Permanent Inj. Order
145					3/15/05 Letter from IRS/BU to RG
146					Instructions for "Filing a Dismissal"
147					Checkbook for RG's US Bank Acc't # xxx6407
148					4/29/05 Official Check for \$459.05 & Other Records Relating to Closed Account
149					US Bank Statement for RG Acc't # xxx6407
150					5/10/05 Letter from RG to IRS w/ 5/9/05 Check for \$3,556,411 for 2002
151					5/10/05 Letter from RG to IRS w/ 5/10/05 Check for \$141,035 for 2003
152					5/10/05 Letter from RG to MDR w/ Check for \$33,000 for 2002
153		↓		↓	6/20/05 Letter to SR from RG

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
154		3/1	✓	3/1	Land Trust Docs. Dated 6/27/05
155		3/1	✓	3/1	Transport Trust Docs. Dated 6/28/05
156					Fax to Wells Fargo w/ 2002 Return from WP & 2003 Return
157					7/6/05 Letter from RG to MDR w/ Check for \$38,000 for 2002 & MDR Demand For Payment
158					10/21/05 Letter from MDR to RG Re \$38,000 Check
159					11/21/05 Letter from RG to IRS Re GRD
160					12/20/05 MDR Notice of State Tax Lien, 2002 & 1/5/06 Cashier's Check from RG to MN, \$32,093.99
161					1/6/06 Letter from RG to GT Re OH
162					1/13/06 MDR Release of State Tax Lien, 2002
163					1/17/06 Warranty Deed from OH/RG to Land Trust
164		↓		↓	1/25/06 Warranty Deed from RG to Land Trust
165		3/1	✓	3/1	2/1/06 Warranty Deed from OH/RG/JG to Land Trust
166		3/3	✓	✓	2/15/06 UCC Filing w/ Iowa Secretary of State
167		3/2	✓	3/2	8/18/00 Letter from Am. Tax Consultants w/ 1999 Forms 1040NR-EZ for RG & JG
168		3/1	✓	3/1	7/22/02 Email from KM to RG & RG Reply
169		3/1	✓	3/1	5/4/04 Email from CS to RG w/ Note from RG to ES & Attached Invoices
170		3/1	✓	3/1	2000 1099-DIV from MCP w/ 1/5/01 MCP letter
171		3/1	✓	3/1	4/6/01 Letter from MCP to MCP Members
172					
173					
174					
175					
176					
SEARCH WARRANT COMPUTER RECORDS-RG HOME					
247		3/2	3/2		10/15/01 RG & JG Financial Statement
248					4/16/02 Note to CS
249					9/16/04 Email from JS
250					2002 Form 1040 for RG & JG Prepared by SW (Not Filed)
251					10/29/04 Email from RG to WP w/ Attached AIG Letters
252					12/3/04 Email from RG to WP
253		↓		↓	12/7/04 Email from RG to WP

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
254		3/2		3/2	12/23/04 Emails Between JS & RG
255					3/2/05 Educational Consultant Contract Between RG/TG & LM/MW/TLOLS
256					Information Worksheet for RG & JG (4/6/05)
257					1/18/06 Email from MA (MW)
258					4/9/03 RG Personal Financial Statement
259		↓		↓	2005 Affidavit of Leon Miles
260					
261					
					BANK RECORDS
300		3/1	✓	✓	Heritage Bank Records for RG/Becomers, Acc't # xxx2031
301		3/1	✓	✓	Copy of 4/8/02 Deposit Slip & Franklin Life Check for \$738,178.31
302		3/2	✓	✓	Copy of 4/16/02 Check to IFC for \$1,720 (GRD)
303		3/2	✓	✓	Copy of 4/17/02 Check to Nevada for \$40 (GRD)
304		3/2	✓	✓	Copy of 7/19/02 of Check to IFC for \$450 (OH)
305		3/1	✓	✓	Copy of 8/8/02 Deposit Slip and AIG Check for \$2,550,000
306		3/1	✓	✓	Copy of 8/9/02 Check to ORC for \$1,150,000
307					
308		3/4	✓	✓	Heritage Bank Statement for RG/JG Acc't # xxx2940, 4/19/02 w/ 4/3/02 Check to Remax for \$5,000
309					
310		3/2		✓	Heritage Bank Records for GRD Acc't # xxx6147
311		3/2		✓	Copies of Deposit Slips and Checks from BILD (11/29/03 & 12/8/03)
312		3/4	✓	✓	Copies of Deposit Slips and Checks from Metro Gem (5/19/03-12/22/03)
313		3/4	✓	✓	Copies of Checks to Metro Gem (6/18/03 & 12/6/03)
314		3/1	✓	✓	Heritage Bank Records for Bought With A Price Ministries Acc't # xxx6411
315					Copy of 4/6/05 Check to TLOLS for \$10,000
316					
317		3/2		✓	Home State Bank Records for GRD Acc't # xxx5620
318		3/2		✓	Copies of Deposit Slips and Checks from BILD (8/5/02-9/30/03)
319		3/1	✓	✓	Copy of 10/15/02 Deposit Slip & ADM Check for \$145,000
320		3/4	✓	✓	Copy of 4/11/03 Check to Metro Gem for \$400,000

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
321		3/2	✓	✓	Home State Bank Records for Oakdale Holdings Acc't #xxx4517
322		3/4	✓	✓	Copies of Deposit Slips and Checks from GRD (8/20/02-12/29/03)
323		3/4	✓	✓	Copy of 12/23/02 Deposit Slip & GRD Check for \$205,000
324		3/4	✓	✓	Copy of 12/23/02 Check to Home State Bank for \$204,963.56
325					
326		3/4	✓	✓	12/23/02 Home State Bank Cashier's Check to Kandiyohi Abstract for \$204,963.56
327					
328					
					SUMMARIES OF BANK RECORDS
340		3/4	✓	✓	Summary of Payments from BILD to GRD, 2002
341		3/4	✓	✓	Summary of Payments from BILD to GRD, 2003
342		3/4	✓	✓	Summary of Payments from Metro Gem to GRD, 2003
343		3/4	✓	✓	Summary of Payments from GRD to OH, 2002-2003
344					
345					
					INCOME RECORDS
350		3/1	✓	✓	2002 Form 1099-MISC from Franklin Life to RG for \$2,550,000 & \$77,547.20 (AIG)
351		3/1	✓	✓	2002 Form 1099-MISC from Franklin Financial to RG for \$69,185.69 (AIG)
352		3/1	✓	✓	2002 Form 1099-MISC from American Franklin to RG for \$6,355.05 (AIG)
353		3/1	✓	✓	2002 Form 1099-R from Franklin Life to RG for \$738,178.31 (AIG)
354					
355					
356					
357		3/1	✓	✓	8/22/02 MCP Stock Certificate (50,000 Shares in Name of GRD) (Hickory Point Bank)
358		3/1	✓	✓	9/17/02 Letter of Transmittal from RG/GRD to ADM Selling 50,000 Shares of MCP Stock (Stamped 9/19/02) (Hickory Point Bank)
359		3/1	✓	✓	9/6/02 Statement of Activity for Sale of 50,000 Shares of MCP Stock for \$145,000 (Hickory Point Bank)

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
360		3/1	✓	✓	Copy of 10/9/02 Check to GRD for \$145,000 (Hickory Point Bank)
361					
362		3/4	✓	✓	Original Metro Gem Checks to GRD (5/19/03-12/22/03)
363					
364		3/2		✓	RG Response of Intent (BILD)
365		3/2		✓	Items Discussed During BH's Visit on 7/30/02 (BILD)
366		3/1	✓	✓	Original Checks from BILD to GRD (8/5/02-11/26/03) (BILD)
367		3/2		✓	9/9/02 Memo from DC to CS (BILD)
368		3/2		✓	9/25/02 Memo from DC to CS (BILD)
369		3/2		✓	Salaries Effective 4/15/03 (BILD)
370		3/2		✓	Schedule A, Part II GRD (BILD)
371		3/2		✓	replacement receipt
372		3/15	✓	✓	00003 Pages 3,4, +5 (Bild records)
					MISCELLANEOUS RECORDS
400		3/2	✓	✓	File Labeled "Goris, Robert D." from Arizona Search Warrant Re IFC
401					
402		3/4	✓	✓	4/25/05 Amended Petition To Quash by RG (Redacted) (D. Minn.)
403		3/3	✓	✓	8/18/00 Letter from Am. Tax Consultants w/ 1999 Returns for RG & JG (SW)
404 <i>received over 1040(b) obj.</i>		3/2	✓	✓	10/29/01 Letter from GK to RG & JG Re 1999 & 2000 Returns (SW)
405		3/3	✓	✓	2002 Form 1040 for RG & JG Prepared by SW (Not Filed) (SW)
406		1	✓	✓	2002 State Tax Returns for RG & JG (SW)
407		1	✓	✓	2002 Tax Records from RG (SW)
408		1	✓	✓	2003 Form 1040 for RG & JG Prepared by SW (SW)
409		1	✓	✓	2003 Tax Records from RG (SW)
410		1	✓	✓	2002 Form 1065 for GRD Prepared by RB/GC (SW)
411		3/3	✓	✓	2003 Form 1065 for GRD Prepared by RB/GC w/ SW Note (SW)
412					
413		3/1	✓	✓	File Labeled "Goris, Robert & Janice" from California Search Warrant Re FPC
414					
415		3/3	✓	✓	US Bank Records for RG Acc't # xxx6407 (US Bank)

PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS
416					
417		3/4	✓	✓	Records Relating to OH/RG Purchase of Lake Lot, 3/02-1/03 (Kandiyohi Abstract & Title)
418					
419		3/4	✓	✓	1/3/03 Warranty Deed from Hansons to OH/RG (Kandiyohi County Recorder)
420		3/3	✓	✓	12/20/05 MDR Notice of State Tax Lien, 2002 (Kandiyohi County Recorder)
421		3/3	✓	✓	1/13/06 MDR Release of State Tax Lien, 2002 (Kandiyohi County Recorder)
422		3/4	✓	✓	1/17/06 Warranty Deed from OH/RG to Land Trust (Kandiyohi County Recorder)
423		3/4	✓	✓	1/25/06 Warranty Deed from RG to Land Trust (Kandiyohi County Recorder)
424		3/4	✓	✓	5/25/06 Corrective Quit Claim Deed from RG & JG to Land Trust (Kandiyohi County Recorder)
425					
426					RG U.S. Passport
427					
428		3/3	✓	✓	11/3/03 Residential Loan Application by RG (Countrywide)
429		3/3	✓	✓	11/11/03 Fax from SW to DG w/ 2001 & 2002 Tax Returns (Countrywide)
430		3/3	✓	✓	12/19/03 Loan Application Disclosure Acknowledgments (Countrywide) (RG & JG)
431		3/3	✓	✓	12/19/03 Residential Loan Application by RG (Countrywide)
432		3/3	✓	✓	11/21/03 Loan Application Disclosure Acknowledgments (Countrywide) (RG)
433					
450		3/5	✓	✓	Summary of Federal Income Taxes Owed, 2002
451		3/5	✓	✓	Summary of Federal Income Taxes Owed, 2003
452					
453					Stipulation to Foundation of Various Government Exhibits
454					
455		3/5	✓	MM	Demonstrative Charts Re LLCs
456		Demonstrative exhibit	only		
457					
458		3/10	✓	✓	Picture of Boat Lawsuit against Andersons
<i>Cts Ex. 456</i>					

AO 187A (Rev. 7/87) 

EXHIBIT AND WITNESS LIST – CONTINUATION